

Guidelines for Completing an Application for Eligible Customer Status

1. Introduction

In accordance with Section 4.0 of the *NL Transmission Policies and Procedures*, or Section 5.0 of the *Generator Interconnection Procedures*, to qualify for Point-to-Point Transmission Service, Network Integration Transmission Service, or Interconnection Service from the Newfoundland and Labrador System Operator (NLSO), prospective Transmission and Interconnection Customers must provide evidence of creditworthiness based on the NLSO's credit review procedures and be granted Eligible Customer status. This document is intended to guide prospective Transmission and Interconnection Customers in applying to become an Eligible Customer with the NLSO. All capitalized terms in this document are defined in Section 2.0 of the *NL Transmission Policies and Procedures* or Section 2.0 of the *Generator Interconnection Procedures*.

2. Submission of Application

To apply for Eligible Customer status with the NLSO, the **Application for Eligible Customer Status** form, available on OASIS, must be completed and returned by fax, e-mail or mail to:

Attention: Manager, Reliability Standards and Commercial Compliance
Fax: 709-737-1318
E-Mail: transmissionservices@nlh.nl.ca
Mailing Address: Attention: Manager, Reliability Standards and Commercial Compliance
Newfoundland and Labrador System Operator
Hydro Place, 500 Columbus Drive
P.O. Box 12400
STN A. St. John's, NL
A1B 4K7

Questions regarding the application process, transmission services or generator interconnection may be referred to the Manager, Reliability Standards and Commercial Compliance as follows:

Phone: 709-570-5906
Fax: 709-737-1318
E-Mail: transmissionservices@nlh.nl.ca

Following approval of the Application for Eligible Customer Status, Eligible Customers may apply for Transmission Service on the NL Transmission System under Section 5.0 of the *NL Transmission Policies and Procedures*, or for Interconnection Service under Section 6.0 of the *Generator Interconnection Procedures*.

3. Application for Eligible Customer Status

Prospective Transmission and Interconnection Customers must complete and return the Application for Eligible Customer Status. To be considered a Completed Application, the following is required:

1. Identity of Applicant - applicants must provide their company name, address, telephone number, fax number, e-mail address, Dun & Bradstreet DUNS Number, and OASIS Entity and Purchasing Selling Entity (PSE) Codes.
2. Eligibility for Status - for purposes of being deemed an Eligible Customer, applicants seeking to receive Point-to-Point Transmission Service, Network Integration Transmission Service, or Interconnection Service from the NLSO shall:
 - i. Meet the creditworthiness requirements set forth in Section 15.0 of the *NL Transmission Policies and Procedures*;
 - ii. Be in good standing with the NLSO;
 - iii. Not be in default of any amounts owed to the NLSO or an individual transmission owner whose transmission facilities are included in the NL Transmission System; and
 - iv. Obtain and provide to the NLSO all required legal identifiers necessary for reporting of energy or transmission scheduling transactions (e.g., DUNS number).
3. Credit Information – in order to complete the evaluation of the applicant’s creditworthiness as per Section 15.0 of the *NL Transmission Policies and Procedures*, applicants must provide the following credit information:

- i. The most recent rating agency report from Standard & Poor's (S&P), Moody's Investor Services (Moody's), or Dominion Bond Rating Service Ltd (DBRS) for the applicant or its guarantor, if applicable;
 - ii. Credit ratings from S&P, Moody's, DBRS, or other rating agency;
 - iii. In the event that the applicant is not rated, audited and consolidated annual financial statements from a global registered independent auditor for the two (2) most recent financial years, or the period of existence, if shorter;
 - iv. Where available, unaudited consolidated financial statements for the most recent financial quarter completed with comparative results of the prior year's financial quarter;
 - v. One (1) bank reference and two (2) trade references (public utilities with which you have conducted business); and
 - vi. Any other reasonable information, if requested by the NLSO, in order to assess the creditworthiness of the counterparty or of its Guarantor, if any (a Dun & Bradstreet report for example).

4. Transmission or Interconnection Services to be Requested – applicants must mark as applicable the service(s) that will be requested in a subsequent application for Transmission or Interconnection Service.

5. Statement of Applicant – an authorized officer of the applicant must sign and date the application. In doing so, the authorized officer is stating that the information and representations set forth on the application are true and correct to the best of his/her information, knowledge and belief, and that the applicant does not have any commercial arrangements with any transmission owner whose facilities are included in the NL Transmission System or, if it has any arrangements that it is in good standing with the applicable transmission owner.

An applicant that fails to provide proof of creditworthiness may still obtain Eligible Customer status by providing other forms of financial assurance as per the NLSO's *Creditworthiness Procedures*.